

5/20/85

## Differential Geometry + Chiral Anomalies

Tricks for solving consistency conditions in  $D=2n$  dimensions

First, to avoid keeping track of (-i) write

$$D_\mu = \partial_\mu + A_\mu$$

$$A_\mu = -i T^a A_\mu^a$$

(antihermitian generators)

$$\begin{aligned} F_{\mu\nu} &= [D_\mu, D_\nu] \\ &= \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu] \end{aligned}$$

$$(A_\mu \rightarrow \Omega A_\mu \Omega^{-1} - \partial_\mu \Omega \Omega^{-1})$$

under gauge trans

We can avoid keeping track of commutators by using differential form notation

$$\begin{aligned} A &= A_\mu dx^\mu \\ F &= dA + A^2 \\ &= (\partial_\mu A_\nu - A_\mu A_\nu) \underbrace{dx^\mu dx^\nu}_{\leftarrow \text{antisym product}} \\ &= F_{\mu\nu} \frac{1}{2} dx^\mu dx^\nu \end{aligned}$$

E.g. Bianchi Identity

In commutator language Jacobi  $\Rightarrow [D_\sigma, [D_\mu, D_\nu]] + \text{cyclic} = 0$

$$\text{or } [D_\sigma, F_{\mu\nu}] + [D_\mu, F_{\nu\sigma}] + [D_\nu, F_{\sigma\mu}] = 0$$

In form language,

$$d^2 = 0 \Rightarrow dF = dAA - AdA$$

to caution: (-) in distributive product of one forms

$$\text{Also } [A, A^2] = 0 \Rightarrow$$

$$[A, F] = AdA - dAA$$

$$\text{Thus } DF \equiv dF + [A, F] = 0$$

$$\text{or, in components } [D_\sigma, F_{\mu\nu}] dx^\sigma dx^\mu dx^\nu = 0$$

Anomaly in Form Notation:

Abelian (or U(1)) Anomaly:

minus sign  
differs from  
Zurino

$$\left[ \begin{aligned} \partial^\mu J_{\mu 5} &= \frac{1}{8\pi^2} \frac{1}{2} \epsilon^{\mu\nu\lambda\sigma} \text{tr} F_{\mu\nu} F_{\lambda\sigma} && \text{(one flavor)} \\ &= \frac{1}{4\pi^2} \partial_\mu \epsilon^{\mu\nu\lambda\sigma} \text{tr} (A_\nu \partial_\lambda A_\sigma + \frac{2}{3} A_\nu A_\lambda A_\sigma) \end{aligned} \right.$$

↳ got rid of (-i)

$\text{tr} F^2$  is a four form, so write in form notation in terms of duol of J

$$\begin{aligned} *J_5 &= \frac{1}{3!} \epsilon^{\mu\nu\lambda\sigma} J_{\mu\nu\lambda\sigma} dx^\nu dx^\lambda dx^\sigma \\ d^*J_5 &= \frac{1}{4!} \epsilon^{\mu\nu\lambda\sigma} \partial^\lambda J_{\mu\nu\lambda\sigma} dx^\mu dx^\nu dx^\lambda dx^\sigma \\ &= \partial^\lambda J_{\lambda\sigma} dx^0 dx^1 dx^2 dx^3 \\ &= \frac{1}{16\pi^2} \text{tr} F_{\mu\nu} F_{\lambda\sigma} \underbrace{\epsilon^{\mu\nu\lambda\sigma} dx^0 dx^1 dx^2 dx^3}_{dx^\mu dx^\nu dx^\lambda dx^\sigma} \end{aligned}$$

minus sign?

and  $F = F_{\mu\nu} \frac{1}{2} dx^\mu dx^\nu$

$$\Rightarrow \text{tr} F^2 = \text{tr} F_{\mu\nu} F_{\lambda\sigma} \frac{1}{4} dx^\mu dx^\nu dx^\lambda dx^\sigma$$

$$\Rightarrow d^*J_5 = \frac{1}{4\pi^2} \text{tr} F^2$$

$$= \frac{1}{4\pi^2} d \text{tr} (AdA + \frac{2}{3} A^3)$$

Nonabelian Anomaly:

LH current

$$\rightarrow [D_\mu, J^\mu]^a = \frac{1}{24\pi^2} \epsilon^{\mu\nu\lambda\sigma} \partial_\mu \text{tr} T^a (A_\nu \partial_\lambda A_\sigma + \frac{1}{2} A_\nu A_\lambda A_\sigma)$$

↳ no (-i)

or  $D^*J^a = \frac{1}{24\pi^2} d \text{tr} (AdA + \frac{1}{2} A^3) T^a$

smaller by  $\frac{1}{6} = \frac{1}{3}$  (wrong)  $\times \frac{1}{2}$  (LH instead of axial)

But  $A^3$  term coef. is not as easily guessed

In higher ( $D=2n$ ) dimensions, we have, for abelian anomaly

$$d^* J_{0+1} \propto \Omega_{2n} \equiv \text{tr} F^n$$

We would like to

- i) Show  $\Omega_{2n}$  is total derivative
- ii) Find form of anomaly satisfying consistency condition in  $D=2n$  dimensions

(i) First, note that  $\Omega_{2n}$  is a closed form,  $d\Omega_{2n} = 0$ . This follows from Bianchi identity

$$\begin{aligned} d\Omega_{2n} &= n \text{tr} dF F^{n-1} \\ &= n \text{tr} [(DF F^{n-1}) - [A, F] F^{n-1}] \end{aligned}$$

$\xrightarrow{\text{by Bianchi}} 0$ 
 $\rightarrow 0$  by cyclicity of trace

Thus - "Poincare Lemma"

$$\Omega_{2n} = d\omega_{2n-1} \quad \leftarrow \text{Let us construct } \omega_{2n-1} \text{ (locally)}$$

First, construct it for infinitesimal gauge field, then integrate

consider  $A \rightarrow A + \delta A$

$$F = dA + A^2 \rightarrow F + d(\delta A) + \delta A A + A \delta A$$

$$\begin{aligned} \delta \Omega_{2n} &= n \text{tr} [d(\delta A) + \delta A A + A \delta A] F^{n-1} \\ &= n \text{tr} [d(\delta A) F^{n-1} - \delta A dF^{n-1}] \end{aligned}$$

Now use Bianchi identity  $dF = -[A, F]$   
 $\Rightarrow dF^{n-1} = -[A, F^{n-1}]$

$$\delta \Omega_{2n} = n d[\text{tr}(\delta A F^{n-1})] \quad \text{exact differential}$$

Now, integrate along a path from  $O$  to  $A$

e.g.  $A_z = \epsilon A$   
 $F_t = \epsilon dA + \epsilon^2 A^2$

Pure gauge

$$A = -dg g^{-1} \Rightarrow \omega_{2n} = n \int_{S^{2n}} \text{tr} (A^{2n})$$

$$dA = -dg g^{-1} dg g^{-1} = -A^2$$

$$= (-1)^n n \frac{\Gamma(n) \Gamma(n)}{\Gamma(2n)} (dg g^{-1})^{2n-1}$$

$$= \frac{1}{3} (n=2), \frac{1}{10} (n=3) \text{ etc}$$

(4)

(In general change in  $\omega_{2n}$  under gauge trans is this closed form + exact form)

And, thus

$$S_{2n} = n \int_0^1 dt e^{nt} \text{tr} \{ A(dA + tA^2)^{n-1} \}$$

$$\text{or } \omega_{2n-1}^0 = n \int_0^1 dt e^{nt} \text{tr} [A(dA + tA^2)^{n-1}]$$

E.g.  $n=2$  ( $D=4$ )

$$\omega_3^0 = 2 \int_0^1 dt [t \text{tr} A dA + t^2 \text{tr} A^3]$$

$$= \text{tr} A dA + \frac{2}{3} \text{tr} A^3$$

$n=3$  ( $D=6$ )

$$\omega_5^0 = 3 \int_0^1 dt \text{tr} (t^2 A(dA)^2 + t^3 A dA A^2 + t^3 A^3 dA + t^4 A^5)$$

$$\omega_5^0 = \text{tr} (A(dA)^2 + \frac{3}{2} A^3 dA + \frac{3}{5} A^5)$$

we note that  $S_{2n} = d\omega_{2n-1}$  holds locally  $\omega_{2n-1}$  need not be globally defined (if it were  $\int S_{2n} = 0$ , by Stokes theorem)

Cohomology

Forms (characteristic classes) closed but not globally exact whose integrals are sensitive to topologically non-trivial transition functions

(ii) Next, we wish to derive form of anomaly satisfying consistency

$$\delta_u W = G_u = \int \text{tr} G_u$$

Consistency  $\Rightarrow \delta_u G_v - \delta_v G_u = i G_{[u, v]}$

(or eliminate  $i$  by writing  $\Omega = 1 + u$ )

$$\Rightarrow A \rightarrow A - [D, u]$$

We use a trick

Consider  $S_{2n+2} = d(W_{2n+1}^0)$   
 - which is gauge-invariant and (locally) exact

$$\delta_v S_{2n+2} = d \delta_v (W_{2n+1}^0) = 0$$

Why does  $\delta_v$  commute with  $d$ ?  $\leftarrow$   
 ( $\delta_v dA$  is defined as  $d \delta_v A$ )

$W_{2n+1}^0$  need not be gauge invariant, but non-invariant piece is closed

Poincare  $\Rightarrow \delta_v W_{2n+1}^0 = d W_{2n}^1$

(superscript 0, 1 signifies order in  $v$ )

Now, we claim that  $G_U = \int \omega_{2n}^1(U)$  satisfies the W-Z condition  
 consider compactified D-dimensional space  $S^D$   
 Extend to D+1-Ball

$$[W[A]] = \int_{B^{D+1}} \omega_{2n+1}^0$$

defines a functional of A, assuming no topological obstruction prevent extending gauge field to ball (Simply connected gauge field?)

(One of differentiated form of consistency condition is satisfied for such A, it will be satisfied in general)

$$\text{Now } G_U = \int_U [W[A]] = \int_{B^{D+1}} \int_U \omega_{2n+1}^0 = \int_{S^{2n}} \omega_{2n}^1(U)$$

So consistency is automatic -  $G_U$  is the charge of a functional. But note that  $W$  cannot be written as a local functional on  $S^D$  - only on the ball. If it could be, "anomaly" would be removable with a counterterm.

Now we wish to derive a formula for  $\omega_{2n}^1(U)$

See  $\alpha$   
 SK of  $n+1$  parameters

$$\omega_{2n}^1 = n(n+1) \int_0^1 dt (1-t) \text{SK} \cup d(A F_t^{n-2})$$

E.g. 4dim ( $n=2$ )

$$\begin{aligned} \omega_4^1 &= 6 \int_0^1 dt (1-t) \text{SK} d[A(t dA + t^2 A^2)] \cup \\ &= 6 \frac{1}{6} \text{tr}(A dA) + 6 \left(\frac{1}{3} - \frac{1}{4}\right) \text{tr} \cup dA^3 \\ &= \text{tr} \cup d(A dA + \frac{1}{2} A^3) \end{aligned}$$

But, is it obvious that  $[W[A]]$  is a functional of A on  $S^D$  independent of how A is continued into the ball? (Same as question of topological obstruction?)

Not necessarily the most general solution

⑥

6 dim ( $n=3$ )

$$\omega_G^1 = 12 \int_0^1 dt (1-t) \underset{\substack{\uparrow \\ \text{symmetrization}}}{\text{Skew}} [A (t^2 dA)^2 + 2t^3 (dA)A^2 + t^4 A^4]$$

$$= 12 \text{Skew} \int_0^1 dt \left[ \left(\frac{1}{3} - \frac{1}{4}\right) A(dA)^2 + 2\left(\frac{1}{4} - \frac{1}{5}\right) dAA^3 + \left(\frac{1}{5} - \frac{1}{6}\right) A^5 \right]$$

$$= \text{Skew} \int_0^1 dt \left[ A(dA)^2 + \frac{6}{5} dAA^3 + \frac{2}{5} A^5 \right]$$



$$\int_U \omega_{2n-1}^0 = n \int_0^1 dt \operatorname{Str} \left[ F_t^{n-1} + (t-1)(n-1) \left( \frac{\partial F_t}{\partial t} - dA \right) \frac{F_t^{n-2}}{t} \right]$$

integrate by parts  $\int_0^1 dt (t-1) \frac{\partial}{\partial t} F_t^{n-2} = - \int_0^1 dt F_t^{n-1}$

We are left with  $\operatorname{Str} \left[ \right]$

$$n(n-1) \int_0^1 dt (1-t) (dA F_t^{n-2} - A dF_t^{n-2})$$

$$= d\omega_{2n-2}^1$$

where  $\omega_{2n-2}^1 = n(n-1) \int_0^1 dt (1-t) \operatorname{Str} [ \nu d(A F_t^{n-2}) ]$

Evidently a symmetrized trace of  $(n-1)$  generators

Should explore  $\nu \rightarrow d\nu$

$$\int_U \omega_{2n-1}^0 = n(n-1) \int_0^1 dt (1-t) \operatorname{Str} [ (d\nu) d(A F_t^{n-2}) ]$$

Now, can pull this  $d$  outside

Summary:

Since  $\int_U \omega_{2n-1}^0(A, F) = \omega_{2n-1}^0(A + d\nu, F) - \omega_{2n-1}^0(A, F)$

We see that  $\omega_{2n}^1$  is linear term in expansion of  $\omega_{2n-1}^0(A + \nu)$  in powers of  $\nu$ .

# Anomaly Cancellation in Higher Dimensions

(J. Preskill et al., Phys. Lett. 124B, 209 (1983))

$n$   
 $\Rightarrow$  SK ( )  
 does not  
 change sign

In four dimensions, fermions in real rep have no anomalies - Pauli-Villars regularization is possible. What is the corresponding statement in  $D=2n$  dimensions

$\gamma$  matrices are  $2^n \times 2^n$ ,  $[\gamma^\mu, \gamma^\nu] = 2\eta^{\mu\nu}$

Lorentz transformations

$$\delta\psi = \frac{1}{2} \epsilon_{\mu\nu} \Sigma^{\mu\nu} \psi, \quad \Sigma^{\mu\nu} = \frac{1}{4} [\gamma^\mu, \gamma^\nu]$$

How do we construct Lorentz invariant fermion bilinear (mass term)?

$\tilde{\psi}\psi$  is invariant, if  $\tilde{\psi} = \psi^T A$

where  $A^{-1}(\Sigma^{\mu\nu})^T A = -\Sigma^{\mu\nu}$

-- Because  $\tilde{\psi}\psi \rightarrow \frac{1}{2} \epsilon_{\mu\nu} \psi^T ((\Sigma^{\mu\nu})^T A + A \Sigma^{\mu\nu}) \psi + \tilde{\psi}\psi$

$$\delta\tilde{\psi}\psi = \frac{1}{2} \epsilon_{\mu\nu} \psi^T A (A^{-1}(\Sigma^{\mu\nu})^T A + \Sigma^{\mu\nu}) \psi$$

Furthermore, there is a charge conjugate spinor which transforms as  $\psi$  does:

$$\psi^c \rightarrow C^{-1} \psi^*$$

$$\psi^c \rightarrow C^{-1} (\Sigma^{\mu\nu})^* C \psi^c \quad \text{Require } C^{-1} (\Sigma^{\mu\nu})^* C = \Sigma^{\mu\nu}$$

$A, C$  always exist, but are not necessarily unique

In  $D=2n$  dimensions, we define

$$\gamma_{D+1} = (i)^{n-1} \gamma^0 \gamma^1 \dots \gamma^{D-1}$$

$$\gamma_{D+1}^2 = (-1)^{n-1} \underbrace{(-1)^{\sum_{k=1}^{D-1} (D-1)}}_{\text{anticommutators}} (-1)^{(D-1)} = 1$$

$[\gamma_{D+1}, \Sigma_{\mu\nu}] = 0$ , so Dirac spinors split into two IRs of Lorentz group, which are  $\gamma_{D+1}$  eigenstates, eigenvalues  $\pm 1$  ( $2^{n-1}$ -dim Weyl spinors)  $\psi_+, \psi_-$

Write invariant bilinears in terms of  $\psi_+, \psi_-$   
 Find commutation properties of  $A, C$  with  $\gamma_{D+1}$

$$\gamma_{D+1} = 2^n (i)^{n-1} \Sigma^{01} \Sigma^{23} \dots \Sigma^{D-2, D-1}$$

thus  $A^{-1}(\Sigma^{\mu\nu})^T A = -\Sigma^{\mu\nu}$

$$\Rightarrow A^{-1}(\gamma_{D+1})^T A = (-1)^n \gamma_{D+1}$$

and  $C^{-1}(\Sigma^{\mu\nu})^* C = \Sigma^{\mu\nu} \Rightarrow$

$$C^{-1}(\gamma_{D+1})^* C = (-1)^{n-1} \gamma_{D+1}$$

Now, define projections  $P_{(\pm)} = \frac{1}{2}(1 \pm \gamma_{D+1})$ ,  $\psi_{\pm} = P_{(\pm)} \psi$

$$\begin{aligned} \tilde{\psi} = \psi^T A &\Rightarrow \tilde{\psi}_{\pm} = \psi^T P_{(\pm)}^T A = \tilde{\psi} A^{-1} P_{(\pm)}^T A \\ &= \tilde{\psi} P_{(\pm)} (-1)^n \end{aligned}$$

Mass Term is  $\tilde{\psi}_+ \psi_+$ ,  $\tilde{\psi}_- \psi_-$  - even  
 $\tilde{\psi}_+ \psi_-$ ,  $\tilde{\psi}_- \psi_+$  - odd

inequivalent  
 reps of  
 Lorentz  
 group

As for charge conjugation ---

$$\begin{aligned} (\psi_{\pm})^c &= C^{-1}(\underline{P}(\pm))^* \psi^* = C^{-1} \underline{P}(\pm)^* C \psi^c \\ &= \underline{P}(\pm(-1)^{n-1}) \psi^c \end{aligned}$$

$$\psi_{\pm}^c \sim \psi_{\pm} \quad n \text{ odd}$$

$$\psi_{\pm}^c \sim \psi_{\mp} \quad n \text{ even}$$

charge conjugation  
flips chirality

Additional mass terms

$$\psi_-^c \psi_+ \quad \psi_+^c \psi_- \quad n \text{ even}$$

$$\psi_-^c \psi_+ \quad \psi_+^c \psi_- \quad n \text{ odd}$$

For  $n$  odd, we can make a mass term only  
by coupling fermions of opposite chirality

Pauli Villars regulator exists only if  $+$ ,  $-$  fermions  
are in identical reps

To summarize:  $D=2n$  dimensions

$n$  even:  $\psi_-$  in rep  $R$  is equivalent to  
 $\psi_+ \sim \psi_-^c$  in rep  $\bar{R}$

$n$  odd:  $\psi_-$  in rep  $R$  is equivalent  
to  $\psi_- \sim \psi_-^c$  in rep  $\bar{R}$

Anomaly  $\propto K \in^{n+1}$  is same for  $R, \bar{R}$   
and cancellation occurs only between  
 $\psi_-$  and  $\psi_+$

Note:  $A$  symmetric in  $D=8k$  dimensions  $\Rightarrow$  no  
Majorana mass allowed by Fermi stat. for  
a single Weyl fermion)

Consider <sup>LH</sup> Weyl fermions  $\psi$  coupled to gravity in  $D = 2n$  dimensions

i)  $n$  even

$\Rightarrow$  There is a Lorentz invariant mass term  $\bar{\psi}\psi$  (Provided no of fermions is even or  $A$  is antisymmetric.) Thus Pauli-Villars regularization is possible, and there are no one-loop anomalies spoiling general covariance

(Related: CPT changes sign of  $\gamma_{D+1}$  - particles and antiparticles have opposite chirality - so couplings to gravity are necessarily nonchiral)

ii)  $n$  odd

$\Rightarrow$  No Lorentz invariant mass term  $\Rightarrow$  No Lorentz invariant regulator  $\Rightarrow$  Anomalies ("gravitational anomalies") can (and do) occur. Energy momentum tensor  $T_{\mu\nu}$  not conserved, reflecting failure of general covariance.

(Related: CPT preserves sign of  $\gamma_{D+1}$  - particles and antiparticles have same chirality, and couplings to gravity can be chiral)

See L. Alvarez-Gaume and E. Witten, Nucl. Phys. B234 (1984) 269.

(For  $D = 8K$  or  $8K+1$ , the dimensions in which P-V regularization does not work for a single Weyl fermion, there is a sign ambiguity in the effective action, and a resulting nonperturbative anomaly analogous to the SU(2) anomaly in four dimensions)

### Anomaly Cancellation

Recall 4 dim

$$K \left\{ \begin{matrix} a \\ R \end{matrix} \right\} \left\{ \begin{matrix} b \\ R \end{matrix} \right\} \left\{ \begin{matrix} c \\ R \end{matrix} \right\} = A(R) d^{abc} \leftarrow \begin{matrix} \text{same tensor for all} \\ \text{reps } R \end{matrix}$$

Thus, anomaly cancellation is one algebraic condition on the fermion representation

This happens because there is only one completely symmetric tensor with 3 adjoint indices  
(3rd order Casimir)

In general, there may be more such invariants  
- a leading (irreducible) Casimir +  
non-leading (reducible) Casimirs

E.g. 6 dimensions

$$5K \left( \begin{matrix} a \\ R \end{matrix} \right) \left( \begin{matrix} b \\ R \end{matrix} \right) \left( \begin{matrix} c \\ R \end{matrix} \right) \left( \begin{matrix} d \\ R \end{matrix} \right) = A_1(R) d^{abcd} + A_2(R) S(\delta^{abcd})$$

i.e.  $K, K^V$  and  $(KF^2)^2$   
are independent invariants

There are thus as many algebraic conditions on the fermion rep as there are independent invariants in the sym. product of  $(n+1)$  adjoint reps

In general, the number of irreducible Casimirs is equal to rank of group (dimension of Cartan subalgebra = no of independent eigenvalues of a diagonal generator)

E.g.  $SU(N)$  rank  $n-1$   
Casimirs of order  $2, 3, 4, \dots, N$

Number of conditions:

If  $l_i$  = rank of  $i$ th Casimir,  
no of ways of choosing  $a_i$  such that  
 $\sum_i a_i l_i = n+1$

i.e. no of "partitions" of  $n+1$  into integers  $2, \dots, N$

E.g.	$n=2$	$N \geq 3$	1 partition (3)
	$n=3$	$N \geq 4$	2 partitions (4, 2+2)
	$n=4$	$N \geq 5$	2 partitions (5, 3+2)
	$n=5$	$N \geq 6$	4 partitions (6, 4+2, 3+3, 2+2+2)
	$n=6$	$N \geq 7$	4 partitions (7, 5+2, 4+3, 2+2+3)
			etc

E.g., in 10 dimensions, express anomaly of  
rep  $R$  of  $SU(N)$  in terms of anomalies of defining rep.

$$\begin{aligned}
\text{Str } \lambda_R^{a_1} \dots \lambda_R^{a_6} = & S [ A_6^{1,1,1,1,1,1} \lambda^{a_1} \dots \lambda^{a_6} + A_6^{2,1,1,1,1,1} \lambda^{a_1} \lambda^{a_2} \lambda^{a_3} \lambda^{a_4} \lambda^{a_5} \lambda^{a_6} \\
& + A_6^{3,1,1,1,1,1} \lambda^{a_1} \lambda^{a_2} \lambda^{a_3} \lambda^{a_4} \lambda^{a_5} \lambda^{a_6} \\
& + A_6^{2,2,1,1,1,1} \lambda^{a_1} \lambda^{a_2} \lambda^{a_3} \lambda^{a_4} \lambda^{a_5} \lambda^{a_6} ]
\end{aligned}$$

These anomalies have been computed in special cases --

S. Okubo + J. Patena, PRD 31, 2669 (1985).  
T. Kogut et al, PL 151B, 269 (1985).

Are these nonleading anomalies genuine? i.e., can they be removed with a local counterterm?

E.g., in eight dimensions, there is a nonleading abelian anomaly  $(\text{tr} F^2)^2$

Associated with it is a consistent nonabelian anomaly in 6 dimensions:

$$(\text{tr} F^2)^2 = d(\omega_3^0 \text{tr} F^2) \quad \text{since } d(\text{tr} F^2) = 0$$

$$\text{and } \delta_V \omega_3^0 \text{tr} F^2 = d(\omega_2^1 \text{tr} F^2)$$

$$G_6 = \int \omega_2^1 \text{tr} F^2$$

An obvious suggestion is, introduce counterterm

$$\int \omega_3^0 \omega_3^0$$

$$\text{then } \delta_V \int \omega_3^0 \omega_3^0 = \int (d\omega_2^1 \omega_3^0 + \omega_3^0 d\omega_2^1)$$

$$\text{integrate by parts} = \int (\omega_2^1 \text{tr} F^2 - \text{tr} F^2 \omega_2^1) = 0$$

↕ crucial minus sign

Doesn't work

determined by Bose sym? No - each is Bose sym

cf  $\text{tr} F^4$  &  $(\text{tr} F^2)^2$   
(twice as many perms)

4. Similarly  $\text{tr} F^2 \text{tr} F^4 \rightarrow (a \omega_2^1 \text{tr} F^4 + b \omega_6^1 \text{tr} F^2)$   
in ten dimensions

$$\text{and } \delta_V \int \omega_3^0 \omega_7^0 = \int (\omega_2^1 \text{tr} F^4 - \text{tr} F^2 \omega_6^1)$$

- cannot change a+b  
(Difference a-b is convention dependent)

To cancel non-covariant anomaly, need something new (cf. Schwarz + Green, PL149B, 117(1984))

The Schwarz-Green trick is to introduce a two-form  $B$  with the gauge transformation property

$$\delta_u B = -\omega_{2,Y}^1 \text{ for Yang-Mills} \quad (dB + \omega_{3,Y}^0 \text{ is invariant})$$

Thus  $\delta_u B \wedge F^4 = -\omega_{2,Y}^1 \wedge F^4$

- and a linear combination of  $B \wedge F^4, \omega_3^0, \omega_7^0$

can cancel the non-covariant gauge anomaly associated with  $\text{tr } F^2 \wedge F^4$

Thus, only  $\text{tr } F^6$  anomaly needs to be cancelled, for gauge-invariance to be assured

### Gravitational Anomalies

Also have a structure related to abelian anomaly in  $D+2$  dimensions  $\propto \text{tr } R^{n+1}$

( $R$  = tensor valued curvature 2-form)  
10 dimensions - 3 independent invariants

$$\text{tr } R^6, \text{tr } R^2 \wedge R^4, (R^2)^2$$

(Why no  $\text{tr } R^3$ ? Non-trivial Casimir for  $SO(n)$ )

Fields with gravitational anomalies

3 types  $\left. \begin{array}{l} \text{spin } \frac{1}{2} \\ \text{spin } 3/2 \\ \text{self-dual antisym tensor} \end{array} \right\}$  But only two are linearly independent, so anomaly cancellation possible

Eq.  $(\frac{1}{2})^- + (\frac{3}{2})^+ + (A)^+$  has cancelling anomalies  
 - low energy limit of type IIB string theory

In 14 or more dimensions, cancellation of gravitational anomalies is impossible (except the trivial case: no chiral theory)

The Green-Schwarz trick can be applied to gravitational anomalies as well. In fact, in low energy limit of type I superstring theory is two form  $B$  such that

$$\delta_u B = -\omega_{2,Y}^1 \quad (\text{Yang-Mills})$$

$$\delta_\epsilon B = \omega_{2,L}^1 \quad (\text{Lorentz})$$

these are consistent 2 dim anomalies, defined by

$$d\omega_{2,Y}^1 = \delta_u \omega_{3,Y}^0 \quad d\omega_{3,Y}^0 = \kappa F^2$$

$$d\omega_{2,L}^1 = \delta_\epsilon \omega_{3,L}^0 \quad d\omega_{3,L}^0 = \kappa R^2$$

thus  $H = dB + \omega_{3,Y}^0 - \omega_{3,L}^0$  - transforms covariantly

Noncancelling abelian gravitational anomaly  
 $\kappa R^2 \kappa R^4$  has consistent form

$$a \omega_{2,L}^1 \kappa R^4 + b \omega_{6,L}^1 \kappa F^2$$

Linear combination of  $B \kappa R^4$   $\omega_{3,L}^0 \omega_{7,L}^0$   
 cancels anomaly

$\kappa (R^2)^3$  has consistent form

$$\omega_{2,L}^2 \kappa (R^2)^2$$

Cancelled by  $B (\kappa R^2)^2$

So only cancellation of  $\kappa R^6$  anomaly is nontrivial

Mixed Anomalies



Hexagon with external graviton, gluon lines

12 dimensional abelian anomalies:

$$\begin{matrix} KR^2 FF^4 & KR^2 (KF^2)^2 \\ KF^2 KR^4 & KF^2 (KR^2)^2 \end{matrix}$$

Consistent anomalies

Gauge:  $\omega_{6,Y}^1 KR^2, \omega_{2,Y}^1 KF^2 KR^2, \omega_{2,Y}^1 KR^4, \omega_{2,Y}^1 K(R^2)^2$

Loantz:  $\omega_{6,L}^1 KF^2, \omega_{2,L}^1 KF^2 KR^2, \omega_{2,L}^1 KF^4, \omega_{2,L}^1 (KF^2)^2$

Possible counterterms, not involving B

$$\left. \begin{matrix} KR^2 \\ KF^2 \end{matrix} \right\} \omega_{3,L}^0 \omega_{3,Y}^0 \rightarrow (\omega_{2,Y}^1 KR^2 - \omega_{2,L}^1 KF^2) \left. \begin{matrix} KR^2 \\ KF^2 \end{matrix} \right.$$

$$\omega_{3,L}^0 \omega_{7,Y}^0 \rightarrow \omega_{2,L}^1 KF^4 - \omega_{6,Y}^1 KR^2$$

$$\omega_{3,Y}^0 \omega_{7,L}^0 \rightarrow \omega_{2,Y}^1 KR^4 - \omega_{6,L}^1 KF^2$$

So far, 4 possible counterterms for 8 anomalies

If we also introduce the two form B,  $\delta B = \omega_{2,L}^1 - \omega_{2,Y}^1$

Here are counterterms

$$\left. \begin{matrix} B(KF^2)^2 \\ B(KR^2)^2 \end{matrix} \right\} \begin{matrix} B KF^4 \rightarrow (\omega_{2,L}^1 - \omega_{2,Y}^1) KF^4 \\ B KR^4 \rightarrow \text{"} KR^4 \end{matrix} \left. \begin{matrix} \\ \\ \end{matrix} \right\} \begin{matrix} \text{we already fixed these,} \\ \text{to cancel gauge,} \\ \text{grav anomalies} \end{matrix}$$

$$\underline{B KF^2 KR^2} \rightarrow \text{"} \underline{KF^2 KR^2} \left. \begin{matrix} \\ \\ \end{matrix} \right\} \begin{matrix} \text{one new} \\ \text{counterterm} \end{matrix}$$

What about?

Ignore these

$$\begin{matrix} KF^2 \omega_{3,L}^0 \omega_{3,L}^0 \rightarrow \omega_{2,L}^1 KR^2 KF^2 \\ KR^2 \omega_{3,Y}^0 \omega_{3,Y}^0 \rightarrow \omega_{2,Y}^1 KR^2 KF^2 \end{matrix}$$

Now we have 5 counterterms

NO, this doesn't work - Variation vanishes as on page (14)

We should really do the counting differently. There are four distinct anomalies, each with 2 consistent forms

$$\begin{aligned}
KR^2 KF^4 &\rightarrow \omega_{2,U}^1 KF^4, KR^2 \omega_{6,Y}^1 \\
KR^2 (KF^2)^2 &\rightarrow \omega_{2,U}^1 (KF^2)^2, KR^2 \omega_{2,Y}^1 KF^2 \\
(KR^2)^2 KF^2 &\rightarrow \omega_{2,U}^1 KR^2 KF^2, (KR^2)^2 \omega_{2,Y}^1 \\
KR^4 KF^2 &\rightarrow \omega_{6,U}^1 KF^2, KR^4 \omega_{2,Y}^1
\end{aligned}$$

None of the four counterterms affects the overall normalization of these anomalies, just the relative normalization of the two terms

$BKF^2KR^2$  is the only "real" counterterm available - so we have one counterterm for 4 anomalies

By introducing the two term we (i.e. Green-Schwarz) reduce the number of algebraic conditions to 5:

- Leading Grav. Anomaly
- Leading Gauge Anomaly
- Mixed Anomalies (3 conditions)

Remarkably, all conditions are satisfied in the Green-Schwarz (Type I superstring) theory, with Yang-Mills gauge group either  $SO(32)$  or  $E_8 \times E_8$ . (Actually must be "heterotic string" to gauge  $E_8 \times E_8$ )

# The Wess-Zumino Effective Lagrangian

Back on page 5, we derived an expression for the fermion effective action as an integral over a  $2n+1$  dimensional disk with compactified Euclidean space  $S^{2n}$  as its boundary

$$W[A] = e \int_{B^{2n+1}} \omega_{2n+1}^0(A)$$

This expression has the right variation under an infinitesimal gauge transformation. We would like to explore further the consequences of this formula.

If we consider the change in  $W[A]$  under a finite gauge transformation  $\Omega$ , we write

$$\begin{aligned} \Gamma(\Omega, A) &= W[A^\Omega] - W[A] \\ &= e \int_{B^{2n+1}} [\omega_{2n+1}^0(A^\Omega) - \omega_{2n+1}^0(A)] \end{aligned}$$

e.g.  $G=SU(N)$   
 $N \geq n+1$

form locally exact but not

globally exact (Example in terms of  $\pi \rightarrow$  local)

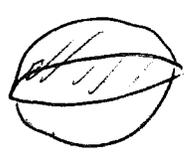
[It is implicit that  $\pi_{2n}(G) = 0$ , so that we can contract the gauge transformation on  $S^{2n}$  to the disk) If we know regard  $\Omega(x)$  as a dynamical variable, this expression can be regarded as a local functional in gauge fields and Goldstone boson fields parametrized nonlinearly by  $\Omega = \exp(2i\pi a^a/f)$  E.g., if we gauge an anomaly-free subgroup of  $SU(N)_L \times SU(N)_R$  and regard  $\Omega$  as a  $SU(N)_L$  transformation,  $\Gamma(\Omega, A)$  has local  $SU(N)_L \times SU(N)_R$  symmetry. It is called the Wess-Zumino effective Lagrangian.

E.g. suppose we set  $A=0$ . Then we have an effective action with the local symmetry  $\Omega(x) \rightarrow \Omega'(x)\Omega(x)$

which can be written

$$\Gamma(\Omega) = c' \int_{B^{2n+1}} \text{Tr}(\Omega^{-1} d\Omega)^{2n+1}$$

recalling the form of the Chern-Simons form for a "pure gauge" field configuration (page 4). Here we note a possible ambiguity, since this form  $(\Omega^{-1} d\Omega)^{2n+1}$  is closed but not exact. We can choose the disk  $B^{2n+1}$  in more than one way; consistency requires



$$c' \int_{S^{2n+1}} \text{Tr}(\Omega^{-1} d\Omega)^{2n+1} = 2\pi i m$$

(cf magnetic monopole). In fact, the normalization of the anomaly found in perturbation theory satisfies this condition - in turns out to be the no. of colors in the case of QCD. (See E. Witten, Nucl. Phys. B223 (1983) 422.)

with  $\Omega = e^{2i\pi/f}$ , we have  $\Omega^{-1} d\Omega \propto d\pi + \text{higher order}$ , and, expanded in powers of  $\pi$ ,  $\Gamma$  becomes

$$\Gamma = c' \int_{B^{2n+1}} d\pi (\pi(d\pi))^{2n}$$

$$= c' \int_{S^{2n}} K \pi (d\pi)^{2n}$$

which, in the case of four dimensions becomes

$$\propto \int d^4x \epsilon^{\mu\nu\lambda\sigma} K \pi \partial_\mu \pi \partial_\nu \pi \partial_\lambda \pi \partial_\sigma \pi$$

In the case of spontaneously broken  $SU(3)_L \times SU(3)_R$ , this effective Lagrangian describes couplings

$$K^+ K^- \rightarrow \pi^+ \pi^- \pi^0$$

These couplings, like  $\pi \rightarrow \gamma\gamma$ , are generated by the anomaly

We could reintroduce an electromagnetic gauge field to infer other anomalous couplings, not only  $\pi \rightarrow \gamma\gamma$ , but also  $\gamma \rightarrow 3\pi$ , etc. (In fact, we could derive the  $\pi\gamma\gamma$  coupling, from integrating the consistency condition to find a consistent anomaly.)

# Topological Interpretation of the Nonabelian Anomaly

We've found connection between Nonabelian chiral anomaly in  $D$  dimensions and abelian anomaly in  $D+2$  dimensions by purely algebraic means. Is there a deeper mathematical connection lurking here? In particular, can we relate nonabelian anomaly to index theorem? (Alvarez-Gaume and Ginsparg, Nucl. Phys B (1984))

define effective action

$$e^{-\pi \Gamma[A]} = \det[i \hat{D}_{2n}(A)]$$

$$\hat{D}_{2n} = \gamma^{\mu} (D_{\mu} + \frac{1}{2} (\gamma_5 \otimes \sigma_{\mu}) A_{\mu})$$

Gauge field couples to LH fermions only.

(well-defined eigenvalue problem, although  $\hat{D}$  not hermitian)

compactify to  $S^{2n}$  coordinates  $x^1 \dots x^{2n}$

Suppose  $\pi_{2n+1}(G) = \mathbb{Z} \Rightarrow$

$\mathbb{Z}$  noncontractible loop  $g(\theta, x) \quad \theta \in [0, 2\pi]$

consider loop of gauge fields

$$A^{\theta} = g^{-1}(\theta, x) (A + dx) g(\theta, x)$$

$$(dx = dx^{\mu} \frac{\partial}{\partial x^{\mu}})$$

Now - we show  $\det[i \hat{D}_{2n}(A^{\theta})]$

has nontrivial winding number around the loop  $\Rightarrow$  a topological reason for  $\pi \Gamma[A]$  to fail to be gauge invariant

Extend  $A$  to  $2n+2$  dimensional gauge field on disk bounded by  $S_x^{2n} \times S_0^1$

$$A = \tau g^{-1}(\theta, x) (dx + d\theta) g(\theta, x)$$

$\tau \in [0, 1]$

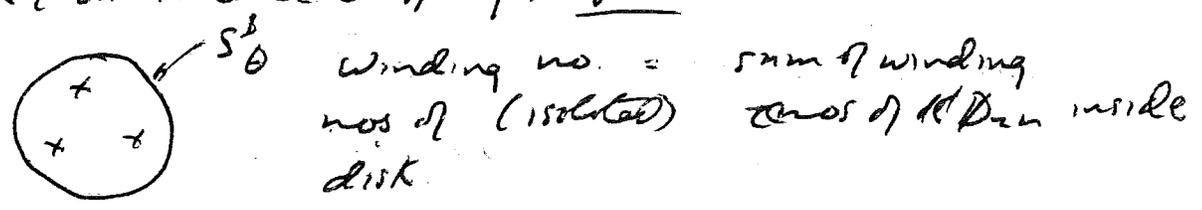
$2n+2$  dimensional Dirac operator  $i\mathcal{D}_{2n+2}(A)$  has index given by Atiyah-Singer Theorem.

(on boundary  $S_x^{2n} \times S_0^1$ ,  $g$  can be thought of as transition function of nontrivial bundle - see  $A = A$  on "lower poles" 

$$\text{ind}[i\mathcal{D}_{2n+2}(A)] \propto \int_{D^2 \times S^{2n}} \text{tr } F^{(n+1)}$$

$$= \int_{S^1 \times S^{2n}} \omega_{2n+1}(A^\theta + g^{-1}dg, F^\theta)$$

Now, this index can be shown to be the winding number of  $\det[i\mathcal{D}_{2n}(A^\theta)]$  (Atiyah-Singer and Bismut, op. cit.)



(use algebraic argument similar to that relating zero modes of  $\mathcal{D}_{D+1}$  to modes which cross zero of  $\mathcal{D}_D$ )

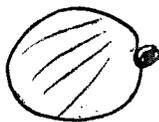
If we write  $g^{-1}dg = \omega d\theta$  (infinitesimal gauge trans)

$$\text{ind}(iP_{2n}) = \int d\theta \int_{S^{2n}} \omega_{2n}^I(\omega, A^\theta, F^\theta)$$

(expand to linear order in  $d\theta$ )

$$\Rightarrow \int_S \omega_{2n}^I, \text{ as we found earlier}$$

another way to say what we have found:



Our disk in  $\mathcal{Q}^{(2n)}$  (space of gauge field configurations)

is a sphere in  $\mathcal{Q}^{(2n)} / \mathcal{G}^{(2n)}$  (space of gauge equivalence classes). we find that  $e^{-T}$  cannot be smoothly defined on this two-sphere ("monopole bundle")

And we had to assume  $\pi_{2n+1}(G) = \mathbb{Z}$

Not always true when there are anomalies in perturbation theory -

$$\text{E.g. } \pi_5(U(1)) = 0 = \pi_5(SU(3))$$

However --- (conjecture)

$\pi_{2n+1}(G) = \mathbb{Z}$  iff  $G$  has an odd  $(n+1)$  Casimir invariant

we have thus found a topological basis for irreducible anomalies



# Chiral Solitons — Lectures by H. Sonoda.

## §1. Chiral Lagrangian

Ref. ph 234 lecture notes

Let's consider QCD with massless quarks. As we learned in the second term, the strong interaction induces chiral symmetry breaking. The low energy sector of the theory consists of Goldstone bosons.

For  $n$  massless flavors, the phenomenological Lagrangian is written as

$$\mathcal{L} = \frac{f^2}{8} \text{Tr} \partial_\mu \Sigma \partial^\mu \Sigma^\dagger + \text{higher derivative terms}$$

$\Sigma$  is  $SU(n)$  matrix, which transforms like

$$\Sigma \rightarrow L \Sigma R^\dagger$$

under  $SU(n)_L \times SU(n)_R$ . In terms of Goldstone boson fields  $\pi^a$

$$\Sigma = \exp\left(\frac{\sqrt{2}i}{f} \pi^a \lambda^a\right).$$

Experimentally ( $\pi \rightarrow \mu \bar{\nu}$ ),  $f$  is given by  $\sim 130$  MeV.

$\mathcal{L}$  is invariant under  $SU(n)_L \times SU(n)_R$ . Higher derivative terms are suppressed by powers of  $\frac{E}{f} \ll 1$ .  
( $E$  is the typical energy of the interaction.)

## §2. Derivation of the Anomalous Baryon Number massless

Let us consider QCD with  $n$  flavors :

$$\mathcal{L} = -\frac{1}{4} F^2 + i\bar{\Psi} \not{\partial} \Psi.$$

The symmetry of this Lagrangian is

$$G_f = SU(n)_L \times SU(n)_R \times U(1)_V.$$

We can introduce the external gauge fields  $iA_\mu^L, iA_\mu^R, iB_\mu$  which couple to  $G_f$  currents :

$$\begin{aligned} \mathcal{L} = & -\frac{1}{4} F^2 + i\bar{\Psi} \not{\partial} \Psi \\ & - \bar{\Psi}_L \gamma^\mu A_{\mu L} \Psi_L - \bar{\Psi}_R \gamma^\mu A_{\mu R} \Psi_R - \bar{\Psi} \gamma^\mu B_\mu \Psi. \end{aligned}$$

The functional integral

$$e^{i\Gamma[iA_L, iA_R, iB]} = \int [d\Psi d\bar{\Psi}] e^{i\int \mathcal{L} d^4x}$$

is not invariant under gauge transformations of the  $G_f$  external gauge fields. For infinitesimal transformations

$$\delta A_{\mu,L} = -\partial_\mu \epsilon_L + i[\epsilon_L, A_\mu^L]$$

$$\delta A_{\mu,R} = -\partial_\mu \epsilon_R + i[\epsilon_R, A_\mu^R]$$

$$\delta B_\mu = -\partial_\mu \epsilon,$$

the change of  $\Gamma$  is given by

$$\delta\Gamma = \int d^4x \left[ \begin{aligned} & \langle \bar{\Psi}_L \gamma^\mu (\partial_\mu \varepsilon_L + i [A_\mu^L, \varepsilon_L]) \Psi_L \rangle \\ & + \langle \bar{\Psi}_R \gamma^\mu (\partial_\mu \varepsilon_R + i [A_\mu^R, \varepsilon_R]) \Psi_R \rangle \\ & + \langle \bar{\Psi} \gamma^\mu \partial_\mu \varepsilon \Psi \rangle \end{aligned} \right]$$

$$= - \int d^4x \left[ \begin{aligned} & \varepsilon_L^a (\partial_\mu \delta^{ac} + f^{abc} A_\mu^{L,b}) \langle \bar{\Psi}_L \gamma^\mu T^c \Psi_L \rangle \\ & + \varepsilon_R^a (\partial_\mu \delta^{ac} + f^{abc} A_\mu^{R,b}) \langle \bar{\Psi}_R \gamma^\mu T^c \Psi_R \rangle \\ & + \varepsilon \partial_\mu \langle \bar{\Psi} \gamma^\mu \Psi \rangle \end{aligned} \right]$$

The covariant divergence of the current is given by the anomaly equation.

$$\therefore \delta\Gamma = -3 \overset{\text{color}}{\frac{1}{24\pi^2}} \int d^4x \varepsilon^{\mu\nu\alpha\beta}$$

$$\cdot \text{Tr} \left[ \begin{aligned} & (\varepsilon_L^+ \varepsilon_X) \partial_\mu A_\nu^L \partial_\alpha A_\beta^L + \frac{1}{2} i \partial_\mu (A_\nu^L A_\alpha^L A_\beta^L) \\ & + 2 \partial_\mu A_\nu^L \partial_\alpha B_\beta + \frac{1}{2} i \partial_\mu (A_\nu^L A_\alpha^L B_\beta^L) \\ & - (\varepsilon_R^+ \varepsilon_X) \partial_\mu A_\nu^R \partial_\alpha A_\beta^R + \frac{1}{2} i \partial_\mu (A_\nu^R A_\alpha^R A_\beta^R) \\ & + 2 \partial_\mu A_\nu^R \partial_\alpha B_\beta + \frac{1}{2} i \partial_\mu (A_\nu^R A_\alpha^R B_\beta) \end{aligned} \right] \quad (1)$$

For simplicity, let us consider the case  $n=2$  and  $A_\mu^R = 0$ . Then the  $SU(2)_L^3$  anomaly vanishes and the only anomaly is  $SU(2)_L^2 \times U(1)$ :

$$\frac{1}{3} \delta \Gamma = -\frac{1}{24\pi^2} \int d^4x \epsilon^{\mu\nu\alpha\beta} \left[ \text{Tr} \left[ \epsilon \left( \partial_\mu A_\nu^L \partial_\alpha A_\beta^L + \frac{1}{2} i \partial_\mu (A_\nu^L A_\alpha^L A_\beta^L) \right) + \epsilon_L \left( 2 \partial_\mu A_\nu^L \partial_\alpha B_\beta + \frac{1}{2} i \partial_\mu (A_\nu^L A_\alpha^L B_\beta) \right) \right] \right] \quad (2)$$

Having understood the non-invariance of  $\Gamma$ , let us consider the functional integral of the chiral Lagrangian

$$e^{i\Gamma[iA_L, iA_R, iB]} = \int [d\Sigma] e^{i \int d^4x \mathcal{L}_{\text{chiral}}}$$

By definition of the chiral Lagrangian, this  $\Gamma$  must be the same as  $\Gamma_{\text{QCD}}$ . In particular it transforms non-trivially under  $G_f$  transformations in the manner given in (1), (2).

Usually when we introduce gauge fields, we replace ordinary derivatives by covariant derivatives. This is not sufficient

in our case, because the Lagrangian constructed by the replacements would be  $G_f$  gauge invariant. We have to add extra terms to satisfy (1), (2).

The extra term which transforms like (1) is called "Wess-Zumino term". We will come back to the general discussion in the third lecture. Here we just state the answer for (2):

$$\Gamma_{W-Z} = -3 B_\mu J^\mu$$

$$\text{where } J^\mu = \frac{1}{24\pi^2} \epsilon^{\mu\nu\alpha\beta} \text{Tr} \left[ \begin{aligned} &\Sigma^\dagger (\partial_\nu + iA_\nu^L) \Sigma \cdot \Sigma^\dagger (\partial_\alpha + iA_\alpha^L) \Sigma \cdot \\ &\Sigma^\dagger (\partial_\beta + iA_\beta^L) \Sigma \\ &- \frac{3}{2} i \Sigma^\dagger F_{\nu\alpha}^L (\partial_\beta + iA_\beta^L) \Sigma \\ &+ \frac{1}{2} (-A_\nu^L F_{\alpha\beta}^L + i A_\nu^L A_\alpha^L A_\beta^L) \end{aligned} \right]$$

In particular when  $A_\mu^L = 0$ , we find

$$J^\mu = \frac{1}{24\pi^2} \epsilon^{\mu\nu\alpha\beta} \text{Tr} \left[ \Sigma^\dagger \partial_\nu \Sigma \cdot \Sigma^\dagger \partial_\alpha \Sigma \cdot \Sigma^\dagger \partial_\beta \Sigma \right]. \quad (3)$$

We recall that  $B_\mu$  is coupled to the quark number current.

$\therefore 3J^\mu$  is the quark number current.

$\Rightarrow J^\mu$  defined by (3) can be interpreted as the baryon number current.

Thus we see that even meson field can carry baryon number.

At each time  $t$ ,  $\Sigma$  gives a map from  $S^3$  to  $SU(n)$ . In order to have finite energy,  $\Sigma$  approaches a fixed value at  $r \rightarrow \infty$ . Therefore, the  $\Sigma$  configuration can

be classified by  $\pi_3(SU(n)) = \mathbb{Z}$ . (3) is the density of the corresponding winding number:

$$\text{winding number} = \int d^3x J^0.$$

Baryons can be regarded as solitons of the chiral field  $\Sigma$ .

§3. Method of Goldstone-Wilzcek to calculate the baryon number

In the previous section, we derived the anomalous baryon current by considering the flavor anomalies.

In this section we show another way of deriving the same expression due to Goldstone and Wilzcek.

(PRL 47 (1981) 986)

Let us consider the following phenomenological Lagrangian which also has nucleon fields  $\Psi = \begin{pmatrix} p \\ n \end{pmatrix}$  in it:

$$\begin{aligned} \mathcal{L} = & i \bar{\Psi} \not{\partial} \Psi - m (\bar{\Psi}_L \Sigma \Psi_R + \bar{\Psi}_R \Sigma^+ \Psi_L) \\ & + \frac{f^2}{8} \text{Tr} \partial_\mu \Sigma \partial^\mu \Sigma^+ + \text{higher derivative terms} . \end{aligned}$$

We regard  $\Sigma$  as a background field and compute the expectation value of the baryon number current

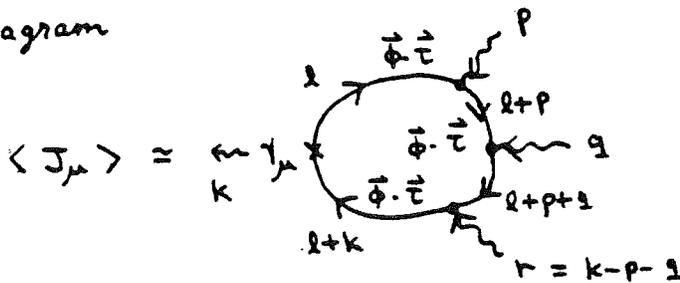
$$J_\mu = \bar{\Psi} \gamma_\mu \Psi .$$

The SU(2) matrix  $\Sigma$  can be written as

$$\Sigma = \phi_0 + i \vec{\phi} \cdot \vec{\tau} \quad (\phi_0^2 + \vec{\phi}^2 = 1)$$

Suppose  $\phi_0 = 1$  and  $\vec{\phi}$  is a small perturbation.

The leading contribution to  $\langle J_\mu \rangle$  comes from the diagram



fermion loop

$$\begin{aligned} \langle J_\mu \rangle &= - \int \frac{d^4 q}{(2\pi)^4} \text{Tr} \left[ \gamma_\mu \frac{i}{\not{l} + \not{k} - m} m \vec{\phi}(l) \cdot \vec{\tau} \not{l} + \not{l} + \not{p} + \not{q} - m \right] \\ &\quad \cdot \frac{i}{\not{l} + \not{p} + \not{q} - m} m \vec{\phi}(q) \cdot \vec{\tau} \not{l} + \not{l} + \not{p} - m \cdot \frac{i}{\not{l} - m} m \vec{\phi}(p) \cdot \vec{\tau} \not{l} + \not{l} \end{aligned}$$

$$= - \int \frac{d^4 q}{(2\pi)^4} \frac{1}{(\not{l} + \not{k})^2 - m^2} \frac{1}{(\not{l} + \not{p} + \not{q})^2 - m^2} \frac{1}{(\not{l} + \not{p})^2 - m^2} \frac{1}{\not{l}^2 - m^2}$$

$$\cdot m^3 \text{tr} \vec{\phi}(l) \cdot \vec{\tau} \vec{\phi}(q) \cdot \vec{\tau} \vec{\phi}(p) \cdot \vec{\tau}$$

$$\cdot \text{Tr} [ \gamma_\mu (\not{l} + \not{k} + m) \not{l} + \not{l} + \not{p} + \not{q} + m \not{l} + \not{l} + \not{p} + m \not{l} + \not{l} ]$$

$$= \frac{1}{24\pi^2} \epsilon_{\mu\nu\alpha\beta} \text{tr} i \partial^\nu \vec{\phi}(l) \cdot \vec{\tau} i \partial^\alpha \vec{\phi}(p) \cdot \vec{\tau} i \partial^\beta \vec{\phi}(q) \cdot \vec{\tau}$$

$$\Rightarrow \frac{1}{24\pi^2} \epsilon_{\mu\nu\alpha\beta} \text{tr} \Sigma^\dagger \partial^\nu \Sigma \cdot \Sigma^\dagger \partial^\alpha \Sigma \cdot \Sigma^\dagger \partial^\beta \Sigma //$$

Ex 1. Complete the calculation.

## §4. Skyrme's Model

Ref. Adkins, Nappi, Witten NP B228 (1983) 552.

In the previous sections, we have learned that the meson field  $\Sigma$  can carry baryon number current

$$J_\mu = \frac{1}{24\pi^2} \epsilon_{\mu\nu\alpha\beta} \text{Tr} \Sigma^+ \partial^\nu \Sigma \Sigma^+ \partial^\alpha \Sigma \Sigma^+ \partial^\beta \Sigma.$$

This is topological.

Its conservation  $\partial_\mu J^\mu = 0$

is automatic.

We expected this sort of topological

conservation, since we know  $\pi_3(SU(n)) = \mathbb{Z}$ .

Skyrme's model corresponds to a particular choice of the chiral Lagrangian

$$\mathcal{L} = \frac{f^2}{8} \text{Tr} \partial_\mu \Sigma^+ \partial^\mu \Sigma + \frac{1}{16e^2} \text{Tr} [\Sigma^+ \partial_\mu \Sigma, \Sigma^+ \partial_\nu \Sigma]^2$$

I remind you of the necessity of the second term.

In order to stabilize the soliton, higher order derivatives are necessary. ( Derrick's theorem )

The soliton solution can be found easily as follows.

First we make an Ansatz

$$\Sigma_0(\vec{x}) = \exp [ i F(r) \hat{t} ]$$

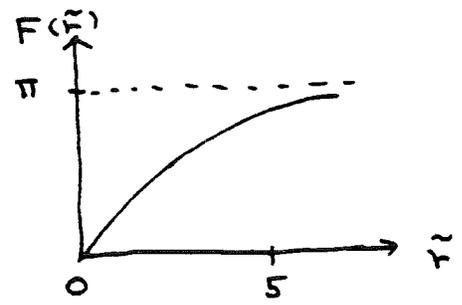
$\Sigma_0$  is invariant under simultaneous rotation of space  $SU(2)_{\text{rot}}$  and  $SU(2)_V$ .

Then as an equation for  $F(r)$ , we obtain the second order differential equation

$$\left[ \frac{\tilde{r}^2}{4} + 2 \sin^2 F \right] F'' + \frac{\tilde{r} F'}{2} + \sin 2F \cdot F'^2 - \frac{\sin 2F}{4} - \frac{\sin^2 F \sin 2F}{\tilde{r}^2} = 0 \quad (\tilde{r} \equiv e f r)$$

We impose  $F(0) = 0$   
 $F(\infty) = n\pi$

as boundary conditions. For  $n=1$ , the solution looks like



The baryon number is calculated to be

$$B = \int d^3x J^0 = \int_0^\infty 4\pi r^2 dr \frac{1}{2\pi^2} \frac{\sin^2 F}{r^2} F' = \frac{2}{\pi} \int_{F(0)}^{F(\infty)} dF \sin^2 F = \frac{1}{\pi} F(\infty) = \underline{n}$$

i.e.  $n$  is the baryon number.

Next we quantize the soliton. We introduce collective coordinates.

$$\Sigma(\vec{x}, t) = A(t) \Sigma_0(\vec{x}) A^\dagger(t).$$

$A(t)$  is a time dependent  $SU(2)$  matrix. It is independent of space, i.e. we ignore meson excitations.

The Lagrangian  $L = \int d^3x \mathcal{L}$  has two symmetry transformations. One is isospin  $SU(2)_v$  and the other is space rotation  $SU(2)_{rot}$ . Under  $SU(2)_v$ ,

$$\Sigma \rightarrow V \Sigma V^\dagger \quad \text{i.e.} \quad A \rightarrow VA.$$

Under  $SU(2)_{rot}$ ,

$$\Sigma \rightarrow AR \Sigma_0 R^\dagger A^\dagger \quad \text{i.e.} \quad A \rightarrow AR.$$

With respect to  $A$ ,  $SU(2)_v$  is left multiplication and  $SU(2)_{rot}$  is right multiplication.

We quantize the soliton by canonical quantization.

The Lagrangian is calculated to be

$$L = -M + \lambda \text{Tr}(\partial_0 A \partial_0 A^\dagger),$$

$$\text{where } \lambda = \frac{4\pi}{3} \frac{1}{e^3 f} \Lambda$$

$$\Lambda = \int_0^\infty d\tilde{r} \tilde{r}^2 \sin^2 F \left[ 1 + 4 \left( F'^2 + \frac{\sin^2 F}{\tilde{r}^2} \right) \right]$$

$$\approx 50.9 \text{ (numerically)}$$

An  $SU(2)$  matrix  $A$  is a function of three local coordinates  $a_i$  ( $i=1,2,3$ ).

$$\begin{aligned} L &= \lambda \operatorname{Tr} \partial_0 A \partial_0 A^\dagger \\ &= \lambda \operatorname{Tr} \frac{\partial A}{\partial a_i} \frac{\partial A^\dagger}{\partial a_j} \dot{a}_i \dot{a}_j \end{aligned}$$

We define

$$C_{ai} = \operatorname{Tr} T^a A^\dagger i \frac{\partial A}{\partial a_i} \quad (\operatorname{Tr} T^a T^b = \delta^{ab})$$

Then

$$L = \lambda \sum_a C_{ai} C_{aj} \dot{a}_i \dot{a}_j$$

The canonical momenta are defined by

$$\pi_i = \frac{\partial L}{\partial \dot{a}_i} = 2 \lambda \sum_{a,j} C_{ai} C_{aj} \dot{a}_j$$

The Hamiltonian is

$$\begin{aligned} H &= \sum_i \dot{a}_i \pi_i - L \\ &= \frac{1}{4\lambda} \sum_{i,j} C^{-1}_{ib} C^{-1}_{ja} \pi_i \pi_j \end{aligned}$$

We introduce the generators of right multiplications:

$$[R^a, A] = -A T^a$$

Let  $R^a = f^{ai} \pi_i$ , then

$$\begin{aligned} [R^a, A] &= f^{ai} [\pi_i, A] \\ &= -i f^{ai} \frac{\partial A}{\partial a_i} = -i f^{ai} A \cdot A^\dagger \frac{\partial A}{\partial a_i} = -f^{ai} A C_{bi} T^b \end{aligned}$$

$$\therefore f^{ai} C_{bi} = \delta^a_b \Rightarrow f^{ai} = C^{-1}_{ia}$$

$$\therefore \underline{R^a = C^{-1}_{ia} \pi_i} = 2\lambda C_{ai} \dot{a}_i$$

Therefore, we obtain

$$H = \frac{1}{4\lambda} (R^a)^2.$$

This is manifestly  $SU(2)_L \times SU(2)_R$  invariant.

(  $R^a$  is left invariant. )

The physical states are classified by their  $SU(2)_V \times SU(2)_{rot}$  quantum numbers. In general we can write

$$\Psi(A) = \sum_{\substack{r \\ ab}} c_{ab}^{(r)} D_{ab}^{(r)}(A) \quad ( D_{ba}^*(A) \equiv \langle b|A|a \rangle )$$

$a, b$  correspond to  $SU(2)_V, SU(2)_{rot}$ , resp.

Examples.

$r$	$(a, b)$		
$\frac{1}{2}$	$(\frac{1}{2}, \pm\frac{1}{2})$	$P$	
	$(-\frac{1}{2}, \pm\frac{1}{2})$	$n$	
$\frac{3}{2}$	$(+\frac{1}{2}, \pm\frac{3}{2}, \pm\frac{1}{2})$	$\Delta^{2+}$	$(-\frac{1}{2}, " ) \Delta^0$
	$(+\frac{1}{2}, " )$	$\Delta^+$	$(-\frac{1}{2}, " ) \Delta^-$

We can also consider integer spin states. However their Hilbert space is orthogonal to half-odd-integer spin states, i.e.

meson excitations do not excite integer spin states to

half-odd-integer spin states. So we can forget about them.

We obtain an important relation from this picture:

$$\text{spin} = \text{isospin}$$

This is what we observe in nature.

Let us look at the masses of the baryons. For states of (iso)spin  $I$ ,

$$(R^a)^2 = 2 I(I+1).$$

(The factor of 2 comes from the normalization  $\text{Tr } T^a T^b = \delta^{ab}$ .)

Therefore, the mass is given by

$$M_N = M + \frac{1}{4\lambda} \frac{3}{2} \quad \text{and} \quad M_\Delta = M + \frac{1}{4\lambda} \frac{15}{2}.$$

We can use the observed masses to fit  $f$  and  $e$ , which are the only parameters of the model.

The result is

$$e = 7.71, \quad f = 91.2 \text{ MeV}.$$

$f$  is 30% off the observed value.

Having understood the quantization of the soliton, we can calculate any matrix elements. I refer the reader to the paper by Adkins, et. al (op. cit.) for predictions of charge radii, magnetic moments, and current matrix elements. I only remark that the skyrmion model is at least as good as the static quark model.

### §5. Wess-Zumino term

Ref. Wess & Zumino, PL 37B (71) 95; Witten, NPB 223 (83) 42

As I promised in §2, we proceed to general discussions of the extra terms in the chiral Lagrangian.

We look for  $\Gamma_{WZ}(\Sigma, \text{external fields})$  which transforms like (1) in §2 upon gauge transformations.

The derivation is rather involved. So, I only sketch it.

Let us consider the Lagrangian

$$\mathcal{L}(\psi_L, \psi_R; iA_L, iA_R) = i\bar{\psi}\not{\partial}\psi - \bar{\psi}_L \gamma^\mu A_\mu^L \psi_L - \bar{\psi}_R \gamma^\mu A_\mu^R \psi_R.$$

( $\psi_L$  is in the fundamental rep. of  $SU(n)_L$ . We absorb  $B_\mu$  by  $A_{\mu L}$  and  $A_{\mu R}$ .) Define the functional integral

$$e^{i\Gamma[iA_L, iA_R]} = \int [d\psi d\bar{\psi}] e^{i\int d^4x \mathcal{L}(\psi, \bar{\psi}; iA_L, iA_R)}$$

$3(\text{color}) \times \Gamma$  transforms in the same way as (1) in §2.

We want to extract the anomalous part of  $\Gamma$  in the following.

We introduce the change of variables:

$$\psi'_L = \Sigma^+ \psi_L, \quad \psi'_R = \psi_R.$$

Then we find

$$\begin{aligned} e^{i\Gamma} &= \int [d\psi' d\bar{\psi}'] e^{iW(\Sigma^+; iA_L, iA_R)} e^{i\int d^4x \mathcal{L}(\Sigma^+ \psi'_L, \psi'_R; iA_L, iA_R)} \\ &= \int [d\psi d\bar{\psi}] e^{iW(\Sigma^+; iA_L, iA_R)} e^{i\int d^4x \mathcal{L}(\psi_L, \psi_R; \Sigma^+ \not{\partial} \Sigma + iA_{\mu L}, iA_{\mu R})} \end{aligned}$$

Here  $e^{iW(\Sigma^+; iA_L, iA_R)}$  is a Jacobian for the change of variables. It is independent of the fermion variables.

(Recall Fujikawa's derivation of the anomaly.  $W \neq 0$  means that the change of variable, which is  $SU(n)_L$ , is anomalous.)

Now we have

$$e^{i\Gamma} = e^{iW(\Sigma^+; iA_L, iA_R)} \underbrace{\int [d\psi d\bar{\psi}] e^{i \int d^4x \mathcal{L}(\psi_L, \psi_R; \Sigma^+, \Sigma + iA_L^L, iA_R^R)}}_{\equiv e^{i\Gamma'}}$$

Let us see how the functional integral  $\Gamma'$  on the RHS changes under  $G_f = SU(n)_L \times SU(n)_R \times U(1)_V$  transformation:

$$\begin{aligned} \text{Since } \delta(\Sigma^+(iA_\mu^L)) &= -i\partial_\mu \epsilon_R - [\epsilon_R, \Sigma^+(A_\mu^L)] - i\partial_\mu \epsilon \\ \delta(iA_\mu^R) &= -i\partial_\mu \epsilon_R - [\epsilon_R, A_\mu^R] - i\partial_\mu \epsilon, \end{aligned}$$

$$\left( \text{We defined } \Sigma^+(iA_\mu^L) \equiv \Sigma^+ \partial_\mu \Sigma + i \Sigma^+ A_\mu^L \Sigma \right)$$

$G_f$  acts as a vector. It is known that the vector anomaly can be canceled by counter terms.

They have been computed by Bardeen (PR 184(69) 1848) as follows (for simplicity we replace  $\Sigma^+(iA_L)$  by  $iA_L$ ):

$$\begin{aligned} \Delta\Gamma[iA_L, iA_R] &= \frac{1}{96\pi^2} \int d^4x \epsilon^{\mu\nu\alpha\beta} \text{Tr} \left[ (A_\mu^L A_\nu^R - A_\mu^R A_\nu^L) (F_{\alpha\beta}^R + F_{\alpha\beta}^L) \right. \\ &\quad \left. + i(-A_\mu^R A_\nu^L A_\alpha^R A_\beta^L - 2A_\mu^L A_\nu^R A_\alpha^R A_\beta^R + 2A_\mu^R A_\nu^L A_\alpha^L A_\beta^L) \right] \end{aligned}$$

Therefore,  $\Gamma' + \Delta\Gamma [ \Sigma^+ (iA^L), iA^R ]$  is invariant under  $G_f$  transformations. Now we are done.

Because

$$\begin{aligned} \Gamma &= W + \Gamma' \\ &= W - \Delta\Gamma [ \Sigma^+ (iA^L), iA^R ] + (\Gamma' + \Delta\Gamma), \end{aligned}$$

$\Gamma_{W-2} \equiv W - \Delta\Gamma$  transforms in the same way as  $\Gamma$ .

This is what we wanted !!

The tedious part is the calculation of  $W$ , which I will not show here. It is straightforward. You repeat infinitesimal transformations to construct the global rotation by  $\Sigma^+$ . (Recall we know Jacobians for the infinitesimal transf.) Unlike in the  $n=2$  case,  $\Gamma_{W-2}$  does not vanish even if the external fields are zero. It is given by

$$\Gamma_{W-2} = \frac{1}{48\pi^2} \int_0^1 ds \int d^4x \varepsilon^{\mu\nu\alpha\beta} \text{Tr} \left[ M \Sigma_s^+ \partial_\mu \Sigma_s \cdot \Sigma_s^+ \partial_\nu \Sigma_s \cdot \Sigma_s^+ \partial_\alpha \Sigma_s \cdot \Sigma_s^+ \partial_\beta \Sigma_s \right],$$

where  $\Sigma \equiv e^{iM}$ ,  $\Sigma_s \equiv e^{iMs}$ .

This can be written in a five-dimensional form as

$$\Gamma_{W-2} = \frac{-i}{240\pi^2} \int d\Sigma^{ijklm} \text{Tr} \left[ \Sigma_s^+ \partial_i \Sigma_s \cdots \Sigma_s^+ \partial_m \Sigma_s \right].$$

It contains a term describing  $K^+K^- \rightarrow \pi^+\pi^-\pi^0$ , etc.

When we introduce  $U(1)_{EM}$ , we get additional terms:

$$\begin{aligned}
 & - \frac{1}{48\pi^2} \int d^4x \epsilon^{\mu\nu\alpha\beta} A_\mu \text{Tr} Q \left( \partial_\nu \Sigma \cdot \Sigma^\dagger \partial_\alpha \Sigma \cdot \Sigma^\dagger + \partial_\beta \Sigma \cdot \Sigma^\dagger \right. \\
 & \quad \left. + \Sigma^\dagger \partial_\nu \Sigma \cdot \Sigma^\dagger \partial_\alpha \Sigma \cdot \Sigma^\dagger + \partial_\beta \Sigma \cdot \Sigma^\dagger \right) \\
 & \quad \left[ \frac{2}{3} \frac{1}{3} - \frac{1}{3} \right] \\
 & + \frac{i}{24\pi^2} \int d^4x \epsilon^{\mu\nu\alpha\beta} \partial_\mu A_\nu \cdot A_\alpha \\
 & \quad \cdot \text{Tr} \left[ Q^2 \Sigma^\dagger \partial_\beta \Sigma + Q^2 \partial_\beta \Sigma \cdot \Sigma^\dagger + Q \Sigma Q \Sigma^\dagger \partial_\beta \Sigma \cdot \Sigma^\dagger \right].
 \end{aligned}$$

The first term is an ordinary  $-J_\mu A_\mu$  coupling.

The second term describes, e.g.  $\pi^0 \rightarrow 2\gamma$  decay.

It has a piece

$$- \frac{e^2}{48\pi^2 \sqrt{2} f} \pi^0 \epsilon^{\mu\nu\alpha\beta} F_{\mu\nu} F_{\alpha\beta}.$$

We should not be surprised by this, since we expect

$\Gamma_{W-2}$  takes account of the effects of the anomalies.

$\pi^0 \rightarrow 2\gamma$  is one of them.

The Wess-Zumino term plays an important role for the soliton as well. It determines that

the soliton should be a fermion. Moreover it gives a constraint to the quantization of the soliton.

I refer the interested reader to

Witten, NP.B 223 (83) 433

Guadagnini, NP.B2 (84)

Bijnens, et al, PLB to be published (CALT-68-1096).